



Robert Wood Johnson Foundation

## **Substance Abuse Policy Research Program**



**2007 Call for Proposals—Round 12**

**Brief Proposal Deadline**

November 7, 2007

## Program Overview

(Please refer to specific sections for complete detail.)

### Purpose

The *Substance Abuse Policy Research Program* is designed to encourage experts in public health, law, political science, medicine, sociology, criminal justice, economics, psychology and other behavioral and policy sciences to address issues related to substance use, one of the most pressing public health problems facing our nation. Projects are expected to increase understanding of public and private policy interventions to prevent, treat and reduce the harm caused by the use of tobacco, alcohol and other drugs.

### Eligibility and Selection Criteria (page 6)

Preference will be given to applicants who are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and are not private foundations as defined under Section 509(a). For a complete list of Eligibility and Selection Criteria please see page 6.

### Total Awards—Round 12

- Up to \$4 million is available in this round of funding.
- Total awards will be funded up to a maximum of \$400,000 (including indirect costs) for *large grants* and \$100,000 (including indirect costs) for *small grants* and may extend for a maximum of three years.

### Key Dates and Deadlines

- **November 7, 2007 (3 p.m. ET)**—Deadline for receipt of brief proposals submitted online.
- **Early February 2008**—Applicants will be notified if selected to submit a full proposal.
- **March 25, 2008 (3 p.m. ET)**—Deadline for receipt of full proposals submitted online.
- **Early June 2008**—Notification of awards.
- **August 2008–October 2008**—Initiation of projects.

### How to Apply

*This program only accepts proposals submitted online through the RWJF Grantmaking Online system.* For information on the program, eligibility criteria and proposal requirements please contact the national program office:

Phone: (336) 286-4548

E-mail: [saprp@leaders.ccl.org](mailto:saprp@leaders.ccl.org)

[www.saprp.org](http://www.saprp.org)

## Background

The use of tobacco and misuse of alcohol and other drugs are among our nation's most pressing public health problems, responsible for more than half a million preventable deaths annually. Morbidity and mortality from the use of tobacco, alcohol and other drugs are staggering, as are the societal costs, including violence, crime, overburdened health care and other service systems, higher health care costs and reduced productivity. Use and misuse of addictive substances touch every aspect of our society, and we seek ways to reduce their toll.

The Robert Wood Johnson Foundation (RWJF) focuses on the pressing health and health care issues facing our country. As the nation's largest philanthropy devoted exclusively to improving the health and health care of all Americans, the Foundation works with a diverse group of organizations and individuals to identify solutions and achieve comprehensive, meaningful and timely change. RWJF developed the Substance Abuse Policy Research Program (SAPRP) as part of a comprehensive set of strategies to reduce the personal, social and economic harm caused by the misuse of tobacco, alcohol and other drugs. SAPRP identifies and assesses policies intended to reduce the harm caused by substance use disorders; analyzes the development, feasibility, effectiveness and likely consequences of those policies; and helps ensure that the understanding gained through those analyses will be used by decision-makers in the public and private sectors. The program provides the opportunity for policy researchers from a variety of disciplines to apply their expertise to important, challenging problems in this field.

SAPRP projects focus on producing policy relevant information about ways to reduce the harm caused by the use of tobacco, alcohol and other drugs in the United States. The program seeks to encourage experts in public health, law, political science, medicine, sociology, criminal justice, economics and other behavioral and policy sciences to address issues related to substance abuse.

The program actively communicates research results to policy-makers, media, researchers, program administrators, the public health community, the general public and other important stakeholders. In doing so, SAPRP plays an important role in the development of policies to improve the way society addresses the problems of use and misuse of addictive substances.

To date SAPRP has funded over 325 studies. SAPRP-funded research has been published in major peer-reviewed journals, reported on by national and local media and included in testimonies before Congress and numerous state and city legislatures. Please visit the program's Web site at [www.saprp.org](http://www.saprp.org) for a complete list of funded studies and project abstracts.

## The Program

Grants will be made for policy studies relating to alcohol, tobacco and other drugs.

### Alcohol and Other Drugs

SAPRP will make alcohol- and drug-related *small grants of under \$100,000* and *large grants of \$100,000–\$400,000*.

The primary objective of SAPRP alcohol- and drug-related grants for this round of funding will be to reduce the harmful effects of substance use disorders and addictions by improving the quality of care for the millions of Americans who need treatment. At least two-thirds of SAPRP's grantmaking in alcohol and other drugs will be focused on this objective.

### Tobacco

Tobacco-related grantmaking will be limited to *small grants of under \$100,000 only*. The majority of SAPRP grants for this round of funding in tobacco will be in three primary areas: (1) policies targeted at preventing or reducing tobacco use and exposure; (2) public or private policies and health care system strategies that singly or collectively increase consumer demand for and use of proven cessation products/services;

and (3) tracking and evaluating the tobacco control policy gains achieved over the past decade. At least two-thirds of SAPRP's grantmaking in tobacco will be focused on these objectives.

This call for proposals and Frequently Asked Questions (FAQs) about SAPRP's funding guidelines on the SAPRP Web site ([www.saprp.org](http://www.saprp.org)) provide important guidance to prospective grantees. However, all SAPRP applicants are encouraged to learn more by visiting the Addiction and Tobacco *Popular Topics* sections of RWJF's Web site at [www.rwjf.org](http://www.rwjf.org).

### Funding Specifics—Alcohol and Other Drugs (Small and Large Grants)

Improving the quality of addiction treatment will represent at least two-thirds of SAPRP's future funding in alcohol and drugs. Priority areas within this objective include:

1. analyzing systems that provide treatment to the public;
2. defining and measuring/tracking treatment quality;
3. determining how the purchasing, regulatory and financing systems support quality treatment;
4. determining how systems and the practices of providers support treatment quality;
5. determining which factors and processes will increase the adoption of evidence-based treatment; and
6. understanding the role of the consumer and how consumers can improve treatment quality.

The remaining one-third of funding will go to support policy research on how models of chronic disease prevention relate to drug and alcohol treatment, and how policies and systems support integration of evidence-based prevention into primary care services.

### Funding Specifics—Tobacco Use and Exposure (Small Grants Only)

Policy research that informs efforts on clean indoor air laws, tobacco cessation treatment use, and sustainability of policy gains will represent the

majority of SAPRP's funding in tobacco. Priority areas within this objective include:

1. health and economic impacts of clean indoor air laws, including the reduction of tobacco use and exposure among low-income and minority populations;
2. increasing consumer demand for and use of evidence-based tobacco cessation treatments, and reducing disparities in treatment among low-income and minority populations; and
3. changes in financing and policy factors that may threaten or sustain policy gains in tobacco control; including changes in tobacco industry marketing, products or programs; and the most policy-relevant targets for funding tobacco control (e.g., Master Settlement Agreement [MSA] funds, Department of Justice Settlement funds).

Research in other tobacco policy areas, including studies that evaluate unanticipated policy changes and “natural experiments,” will be considered, although limited funding is available outside of the priority areas listed above.

### Eligibility and Selection Criteria

Preference will be given to applicants who are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and are not private foundations as defined under Section 509(a).

SAPRP encourages collaborative or multidisciplinary policy research projects involving researchers and community organizations or policy-making organizations. Because this program focuses on the United States, studies of other countries' policies will be considered only to the extent that they may directly affect U.S. policy.

Proposals must demonstrate the potential to produce new information directly relevant to policies intended to reduce the harm caused by use and abuse of addictive substances. All proposals will be assessed by a committee composed of RWJF staff, SAPRP

national program office (NPO) staff and senior program consultants, supplemented by outside expert reviewers in the full proposal stage.

The following criteria will be used to assess proposals:

- Significance of the substance abuse policy being analyzed or evaluated.
- Timeliness for affecting policy development or implementation.
- Innovativeness.
- Quality and availability of the data to be used and the strength of the proposed methodology. (Depending on the focus of the project, primary data collection or secondary data analysis may be supported.)
- Applicant's expertise and qualifications for conducting proposed research.
- Reasonableness of work plan and budget for work scope proposed.

All primary data collected under this program are subject to RWJF's general requirement for producing data tapes for public use. We encourage potential applicants to visit the program's Web site at [www.saprp.org](http://www.saprp.org) and review the abstracts of funded projects and *Suggestions for Strengthening Grant Proposals*, which give an idea of the types of studies previously funded under this program.

### Use of Grant Funds

Grant funds may be used for project staff salaries, consultant fees, data collection and analysis, supplies and other direct expenses, including a limited amount of equipment deemed essential to the proposed project. RWJF will support indirect costs at a rate of up to 12 percent. All applicants are expected to follow RWJF's current indirect cost policy (see [www.rwjf.org](http://www.rwjf.org) for full description of current policies). Grant funds may not be used to subsidize individuals for the cost of health care, to support clinical trials of unapproved drugs or devices, to construct or renovate facilities, for lobbying or as a substitute for funds currently being used to support similar activities.

Grant funding amounts and time periods are flexible and will be commensurate with the size and scope of the proposed activity. Projects that require substantial primary data collection, for example, would probably require more time and funding than would be involved in some other types of policy analyses. Total project awards will be funded up to a maximum of \$400,000 (including indirect costs) for *large grants* and \$100,000 (including indirect costs) for *small grants* and may extend for a maximum of three years.

### Grant Monitoring

Grantees are expected to meet RWJF requirements for the submission of progress and financial reports. Principal investigators are expected to participate in annual SAPRP grantee meetings (typically held in the fall or winter), which include communications training sessions. At the close of each grant, principal investigators are expected to provide a written report on the project and its findings and respond to inquiries about publications and other products. In some instances, principal investigators and co-investigators will be asked to participate in media and policy briefings and other forums that will help communicate research results to a wide audience.

### How to Apply

There are two stages in the application process: submission of a brief proposal and, *if invited*, submission of a full proposal at a later date. All brief and full proposals must be submitted through the RWJF Grantmaking Online system. To access the Grantmaking Online system please visit the SAPRP Web site at [www.saprp.org](http://www.saprp.org).

A limited number of applicants who have submitted brief proposals will be requested to submit more detailed, full proposals for which instructions will be provided. RWJF does not provide written critiques of proposals submitted.

For information on the program, eligibility criteria and the proposal process please contact the NPO:

Phone: (336) 286-4548  
E-mail: [saprp@leaders.ccl.org](mailto:saprp@leaders.ccl.org)

For more information on funded projects and frequently asked questions, please visit the SAPRP Web site at [www.saprp.org](http://www.saprp.org).

### Program Direction

Direction and technical assistance for this program are provided by the Center for Creative Leadership, which serves as the national program office.

Substance Abuse Policy Research Program  
Center for Creative Leadership  
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Greensboro, NC 27438-6300  
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[www.saprp.org](http://www.saprp.org)

*Physical address for Express Mail delivery:*  
One Leadership Place  
Greensboro, NC 27410

Responsible staff members at the NPO are:

- David G. Altman, Ph.D., *program director*
- Marjorie A. Gutman, Ph.D., *co-director*
- Andrea E. Williams, M.Ed., *deputy director*
- Susan James Frye, M.M., *grants administrator*
- Michele Collins, M.P.H., *review coordinator*
- Prabhu Ponskhe, M.A., LL.B., *communications director*

Additional program direction is provided by the following senior program consultants:

- Lawrence Brown, Jr., M.D., M.P.H., *senior vice president, Division of Medical Services, Evaluation and Research, Addiction Research and Treatment Corporation, Brooklyn, NY*
- R. Lorraine Collins, Ph.D., *senior research scientist, Research Institute on Addictions, State University of New York, Buffalo*
- Michael Eriksen, Sc.D., *professor and director, Institute of Public Health, Georgia State University*
- Arthur Evans, Ph.D., *director, Office of Behavioral Health and Mental Retardation Services, Philadelphia, PA*
- Richard Frank, Ph.D., *professor, Department of Health Care Policy, Harvard Medical School*
- Dennis McCarty, Ph.D., *professor, Department of Public Health and Preventive Medicine, Oregon Health and Science University*
- Lorraine T. Midanik, Ph.D., *acting dean and professor, School of Social Welfare, University of California, Berkeley*
- Robert R. Rabin, J.D., Ph.D., *A. Calder Mackay Professor of Law, Stanford Law School*
- Stephen D. Sugarman, J.D., *Roger J. Traynor Professor of Law, University of California, Berkeley*

Responsible staff members at the Robert Wood Johnson Foundation are:

- Elaine Cassidy, Ph.D., *program officer, research and evaluation*
- James Marks, M.D., M.P.H., *senior vice president and director, Health Group*
- C. Tracy Orleans, Ph.D., *senior scientist, and RWJF Distinguished Fellow*
- Joseph F. Marx, *senior communications officer*
- Jennifer A. Dobossy, *grants administrator*

## Timetable

### ■ November 7, 2007 (3 p.m. ET)

Deadline for receipt of brief proposals submitted online (for either *large grants* of \$100,000–\$400,000 or *small grants* of under \$100,000).

### ■ Early February 2008

Applicants will be notified if selected to submit a full proposal (in either category).

### ■ March 25, 2008 (3 p.m. ET)

Deadline for receipt of full proposals submitted online.

### ■ Early June 2008

Notification of awards.

### ■ August 2008–October 2008

Initiation of projects.

## About the Robert Wood Johnson Foundation

The Robert Wood Johnson Foundation focuses on the pressing health and health care issues facing our country. As the nation's largest philanthropy devoted exclusively to improving the health and health care of all Americans, we work with a diverse group of organizations and individuals to identify solutions and achieve comprehensive, meaningful and timely change.

For more than 35 years we've brought experience, commitment and a rigorous, balanced approach to the problems that affect the health and health care of those we serve. When it comes to helping Americans lead healthier lives and get the care they need, we expect to make a difference in your lifetime.

For more information visit [www.rwjf.org](http://www.rwjf.org).

Sign up to receive e-mail alerts on upcoming calls for proposals at [www.rwjf.org/services](http://www.rwjf.org/services).



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